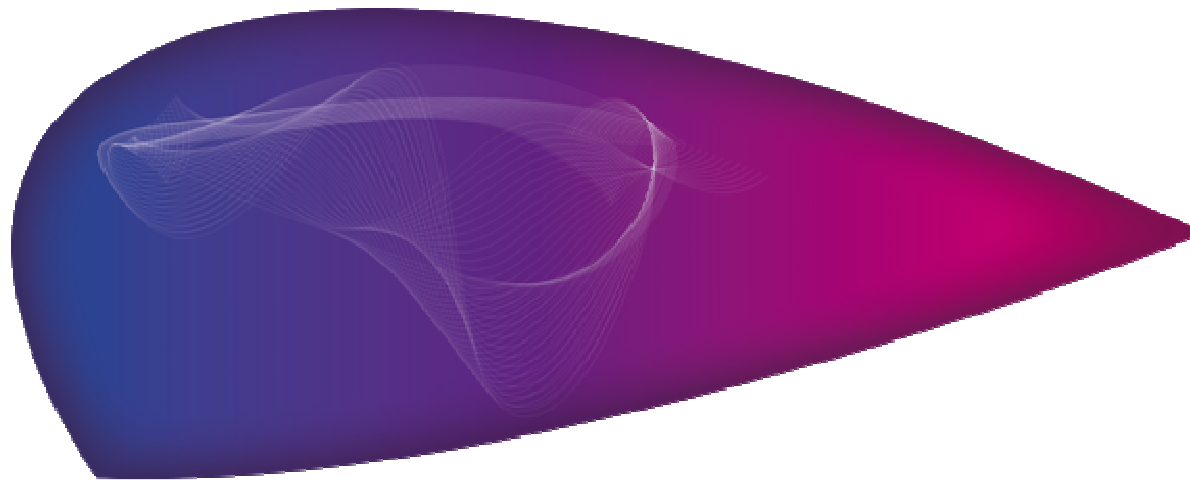


Fundamentals: Frequency & Time Generation

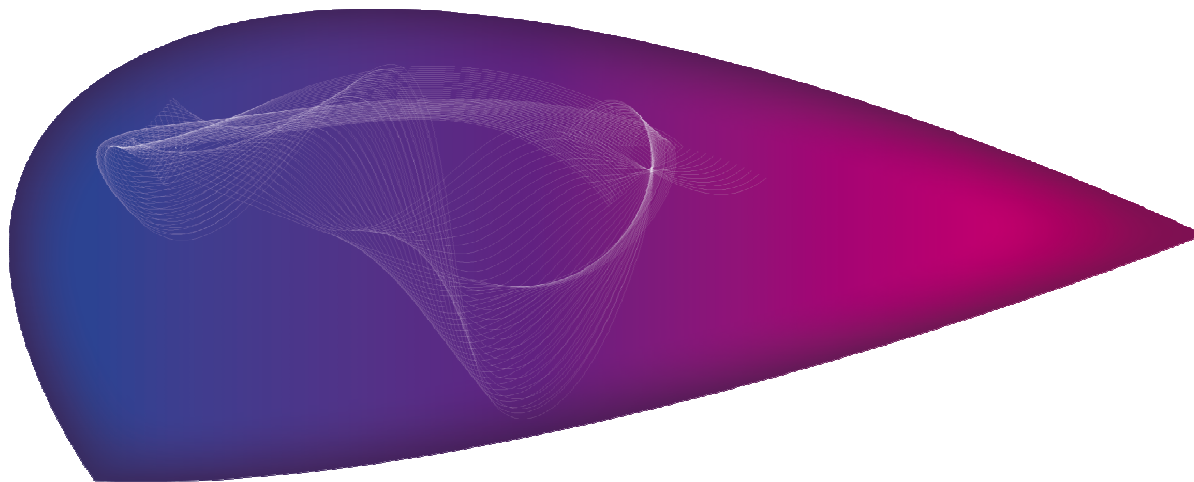


Dominik Schneuwly
Oscilloquartz SA

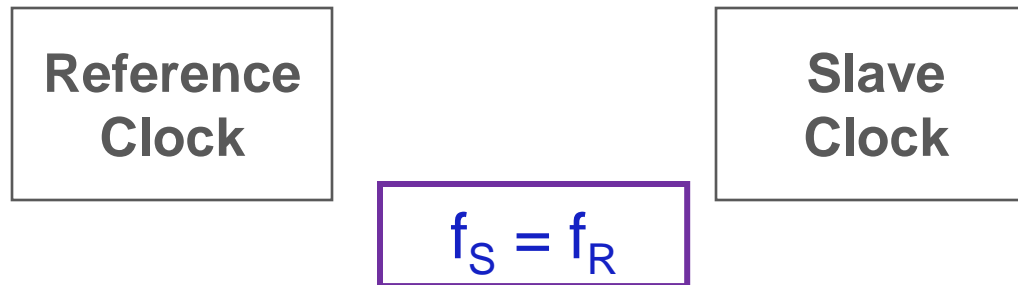
Content

1. Fundamentals
2. Frequency Generation
 - Atomic Cesium Clock (Cs)
 - Rubidium Oscillator (Rb)
 - Quartz Crystal Oscillator (XO)
 - Comparison
3. Time Scale Generation
4. Phase Locked Loop (PLL)
 - PLL with VCO
 - PLL with DDS
 - Time Locked Loop

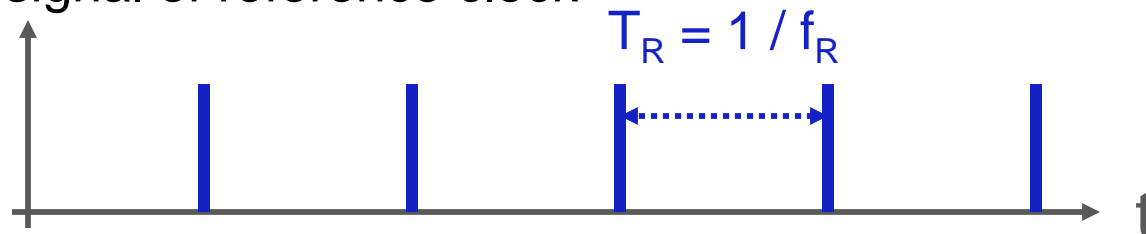
1. Fundamentals



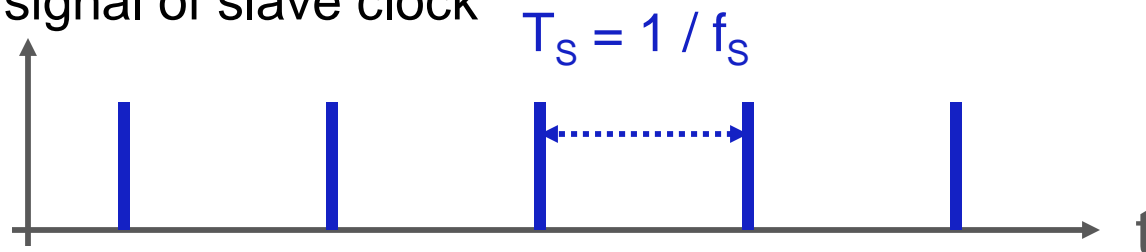
Frequency Synchronization (Syntonization)



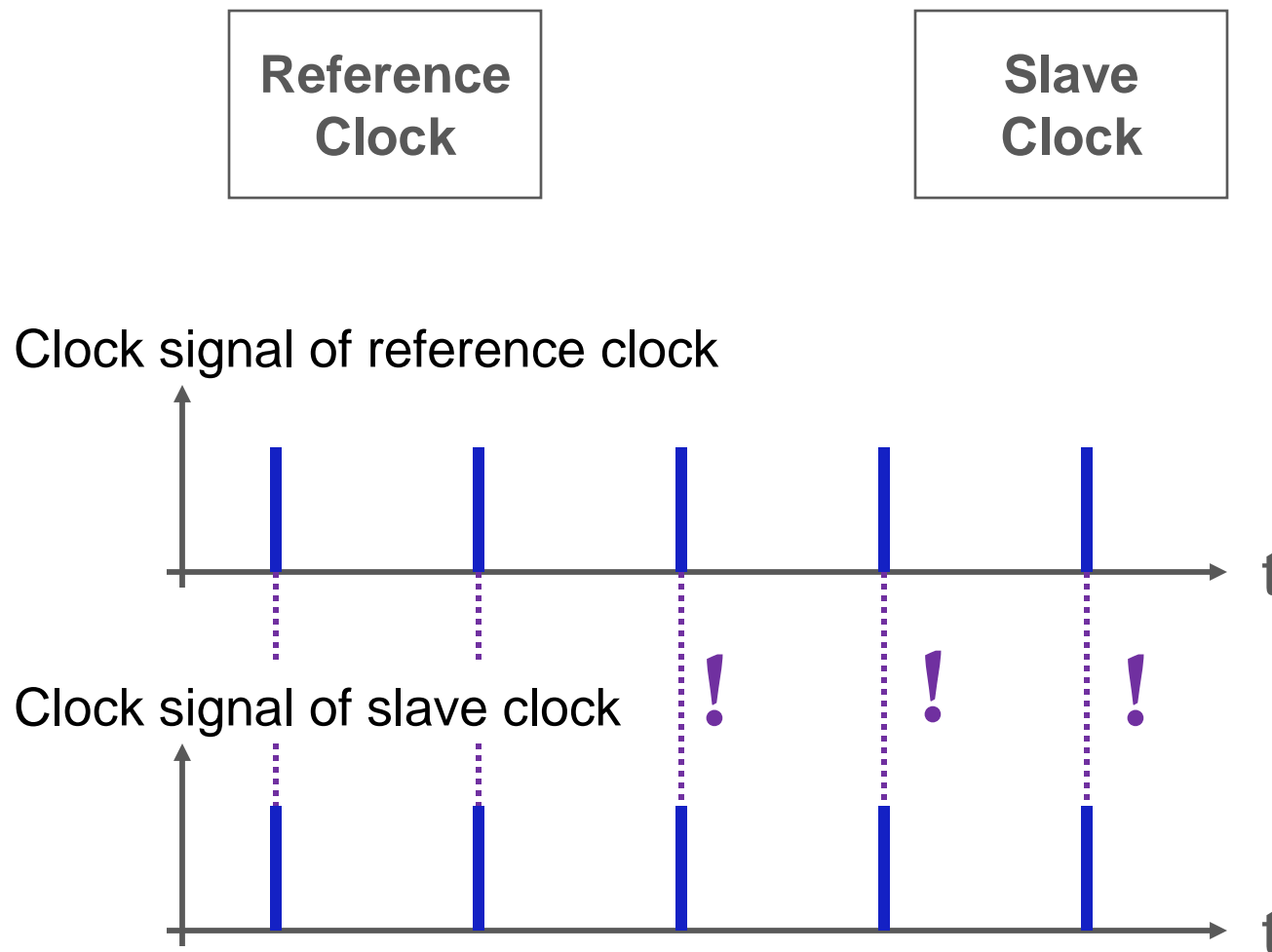
Clock signal of reference clock



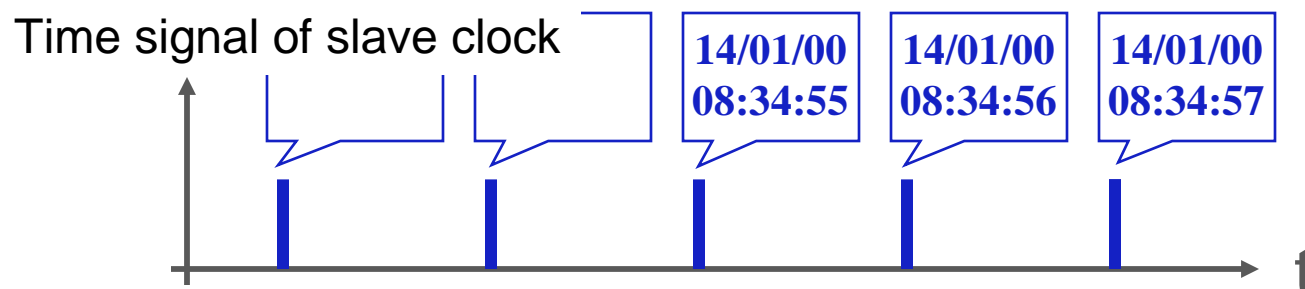
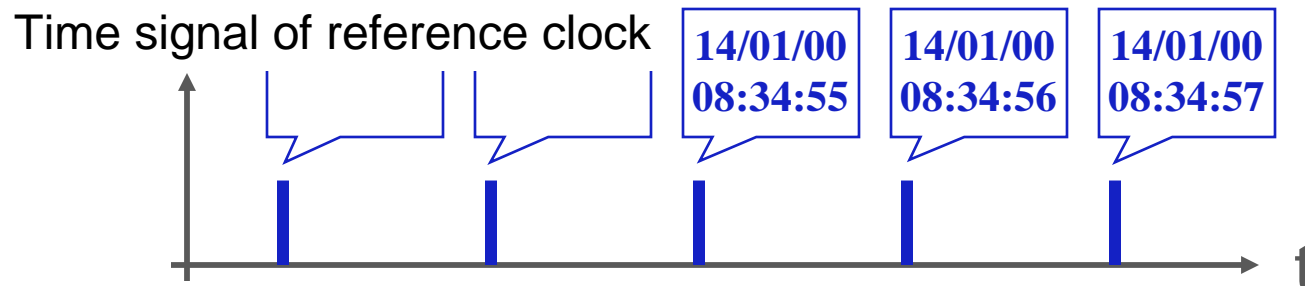
Clock signal of slave clock



Phase Synchronization

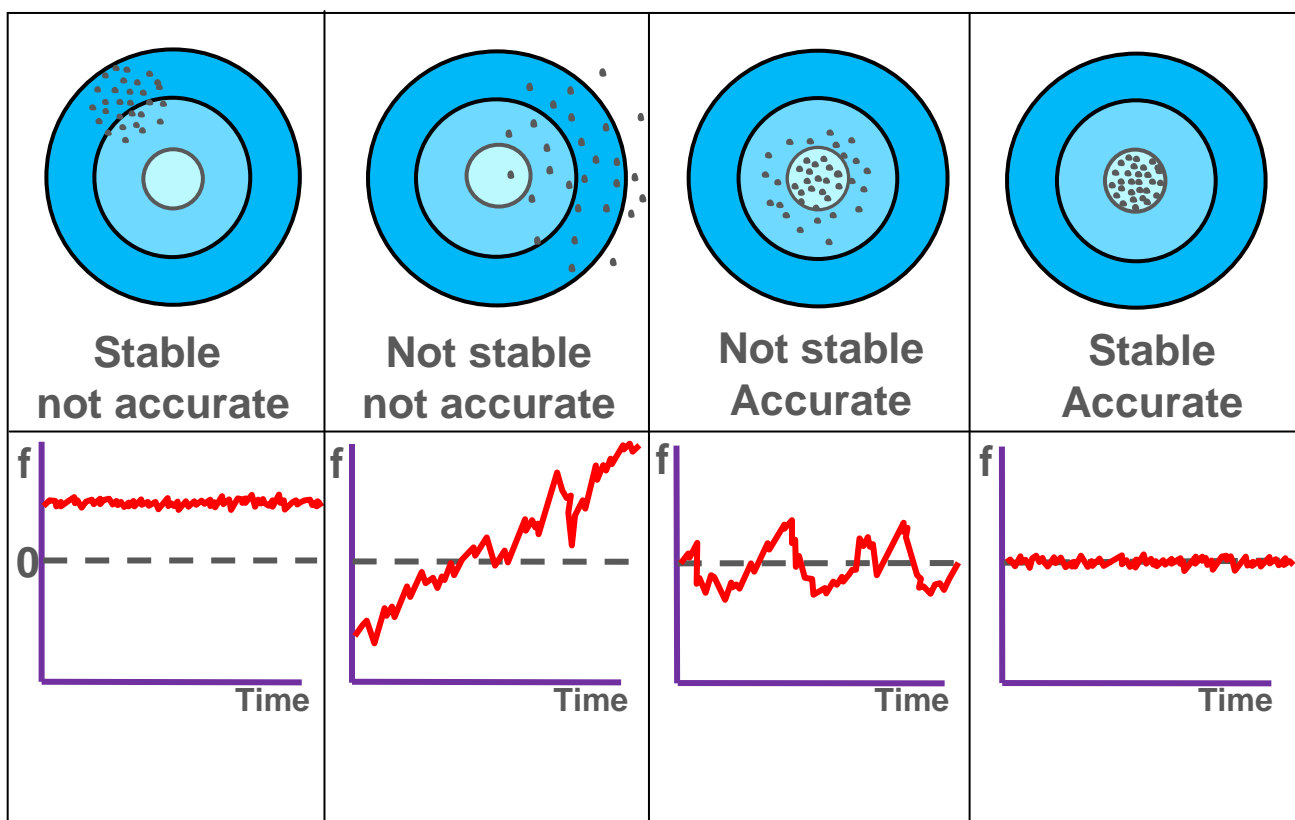


Time Synchronization

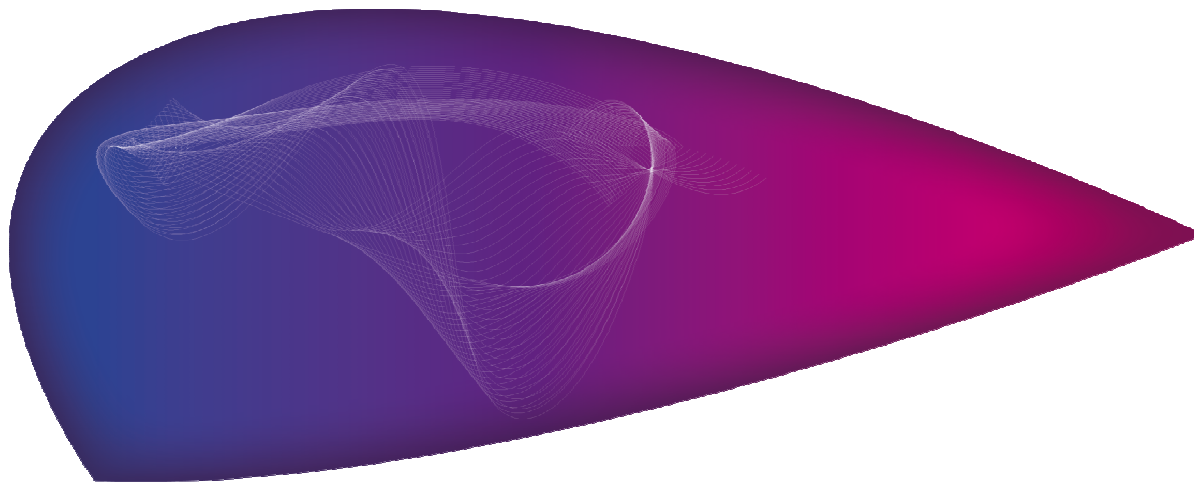


Accuracy and Stability

- **Accuracy:** Maximum (freq., phase or time) error over the entire life of the clock
- **Stability:** (Freq., phase or time) change over a given observation time interval
- Stability is expressed with some statistical dispersion metric as a function of observation interval (e.g. ADEV, TDEV, MTIE, a.o.)

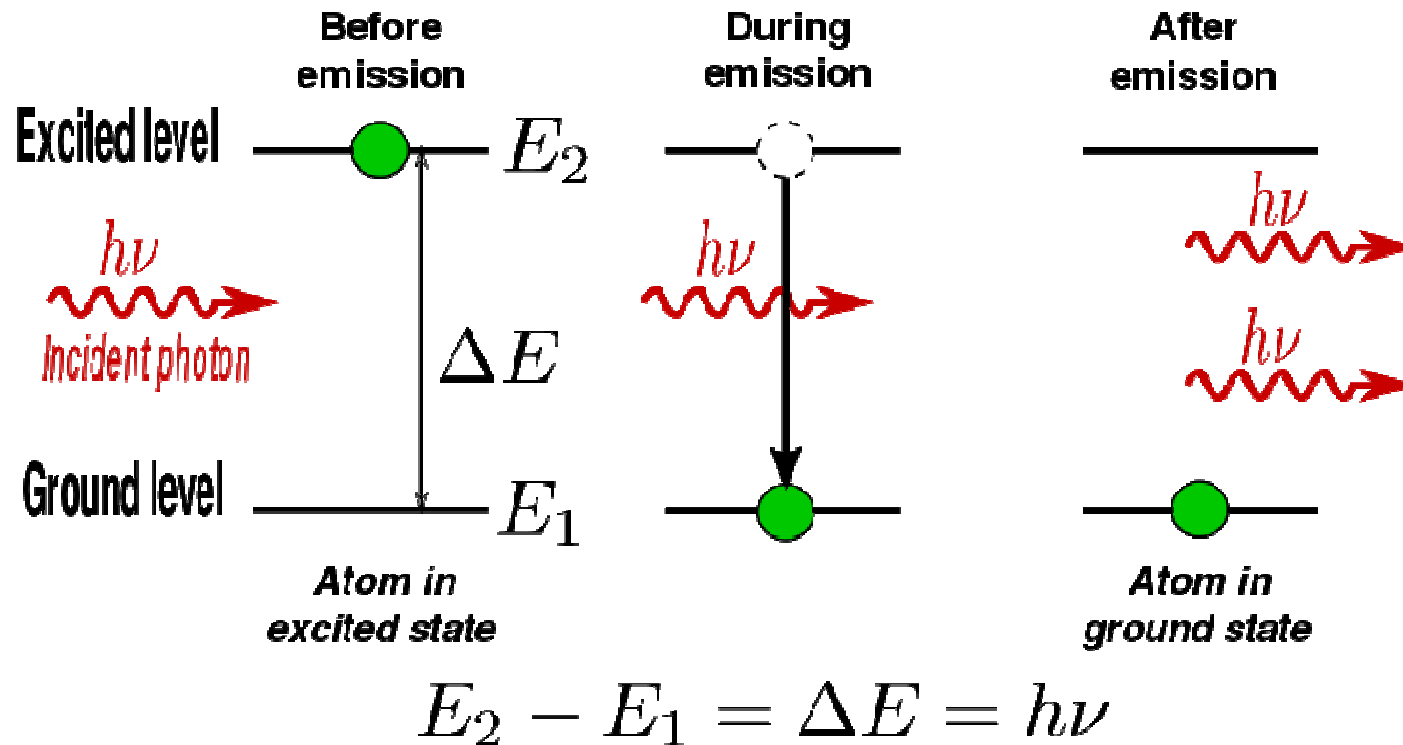


2. Generation of Frequency



Frequency generation: atomic cesium clock (Cs)

Stimulated Emission



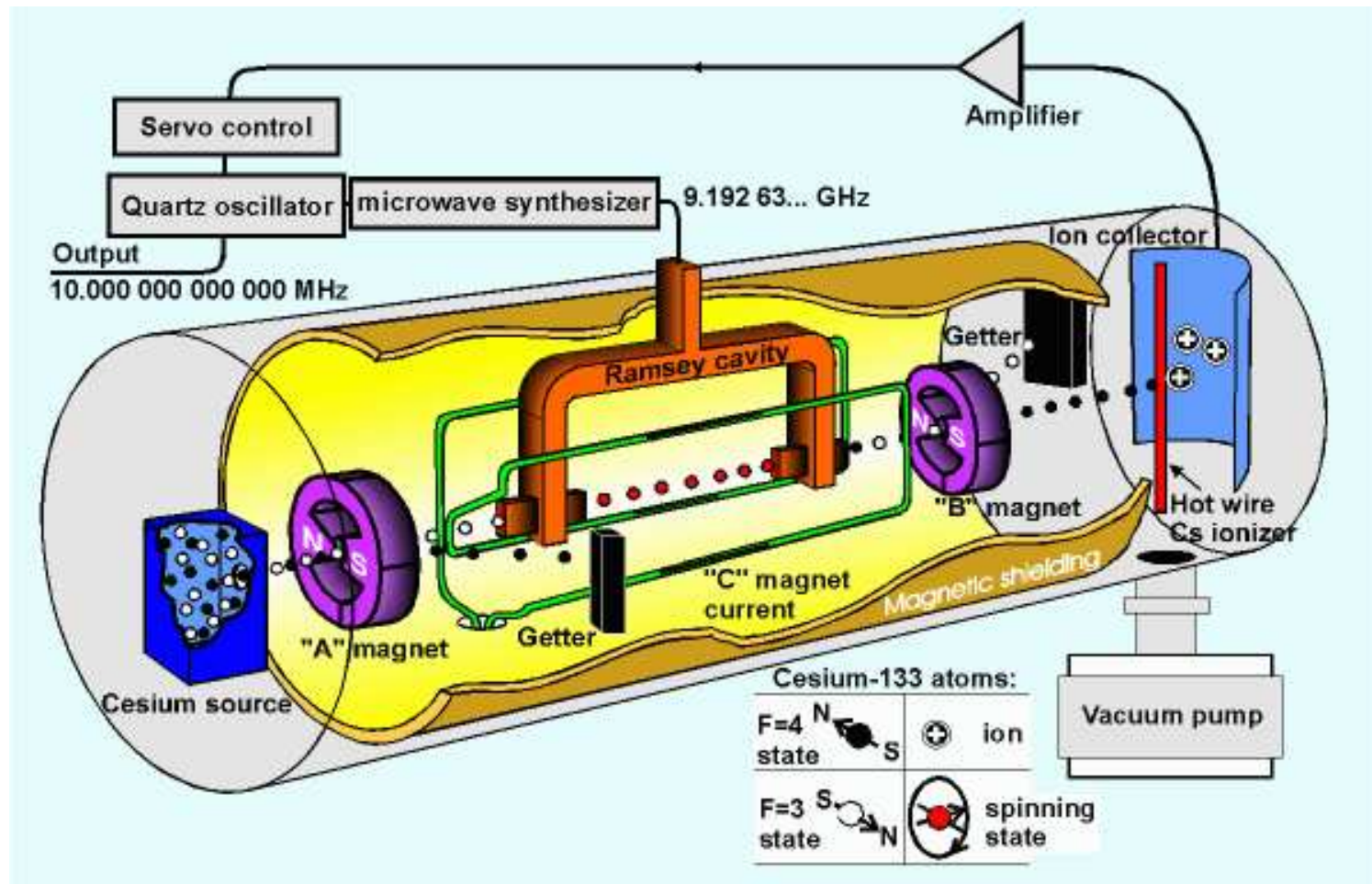
Frequency generation: atomic cesium clock (Cs)

Working principle

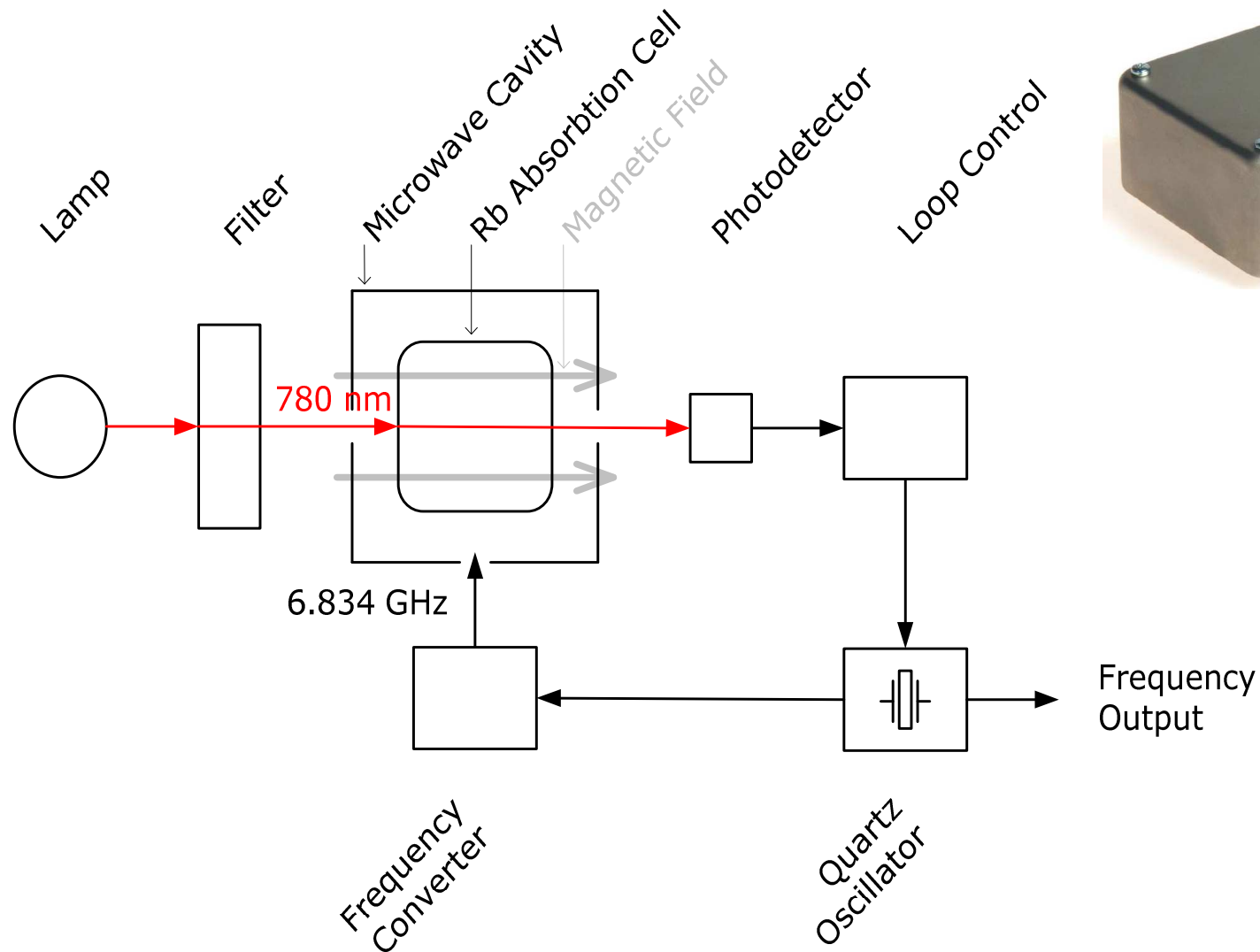
- In a cesium clock, cesium is heated to a gaseous state in an oven. A hole in the oven allows the atoms to escape at high speed.
- Magnets separate the atoms to exclude the impurities.
- The atoms that can absorb energy are directed through a microwave cavity where they are exposed to radiation with a frequency very close to 9,192,631,770 cycles per second, which is given by the Quartz Oscillator.
- These atoms are then pushed by another set of magnets toward a detector.
- This feedback tunes the microwave frequency until it exactly matches the radiation frequency of the cesium atoms, maximizing the number of atoms that reach the detector.
- Once the microwave frequency is locked into the cesium atoms' frequency, it is then divided down to a frequency that can be used to mark time accurately to a few billionths of a second.

Frequency generation: atomic cesium clock (Cs)

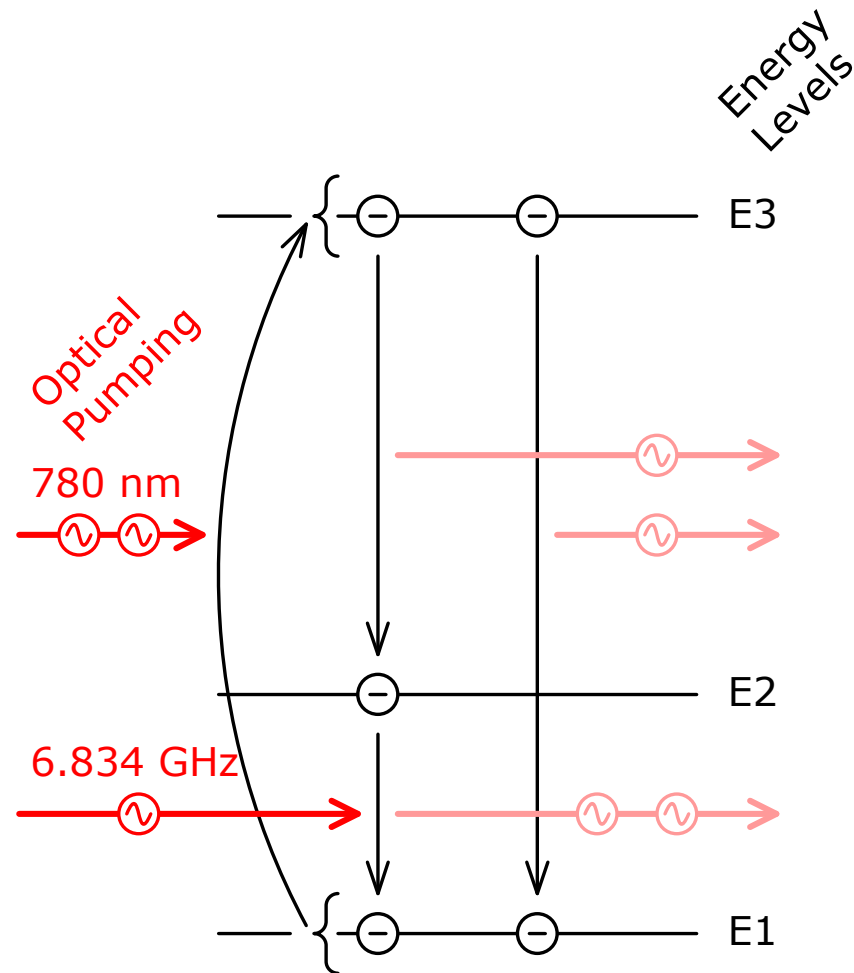
Magnetic Cesium Beam Tube



Frequency source: atomic rubidium oscillator (Rb)



Frequency source: atomic rubidium oscillator (Rb)



Frequency generation: atomic rubidium oscillator (Rb)

Working principle

- Light from ^{87}Rb lamp contains both 3-1 and 2-1 lines.
- ^{85}Rb cell filters out the 2-1 line and transmits the 3-1 line.
- The filtered light “optically pumps” ^{87}Rb atoms in the resonance cell from level 1 to 3.
- The injected microwave frequency at 6.834 GHz induces transition from level 2 to 1.
- When the injected frequency matches the difference 2-1, the photo-diode detects a reduction in the amount of light.
- This feedback tunes the microwave frequency until it exactly matches the 2-1 difference.
- Once the microwave frequency is locked to the rubidium atoms' frequency, it is then divided down to a lower frequency.
- There exist other variants of Rubidium oscillators with a slightly different working principle (tuned laser diode instead of ^{87}Rb lamp, no microwave cavity).

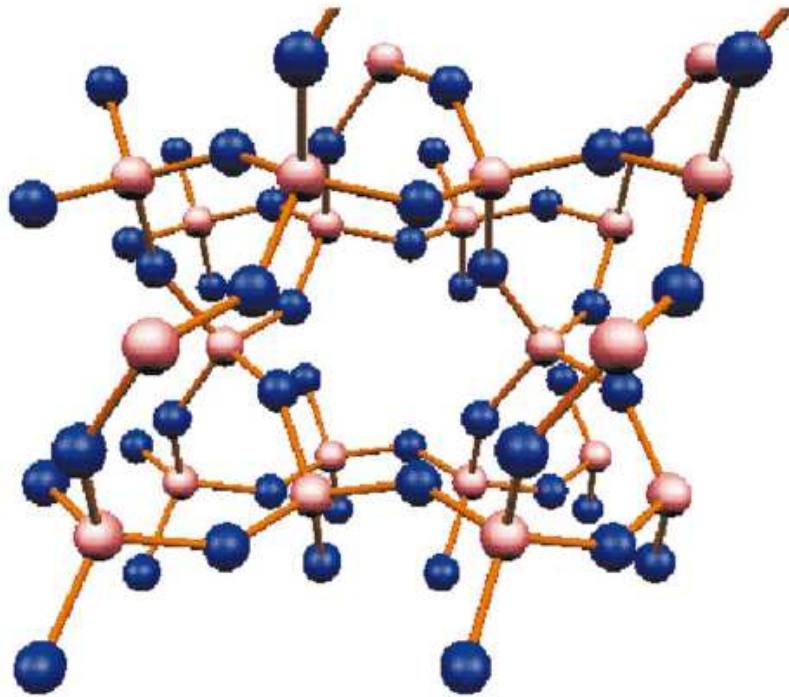
Frequency generation: quartz crystal oscillator (XO)

Quartz crystal

Quartz = SiO_2

Pink = silicon atoms

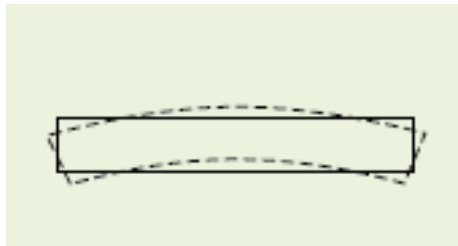
Blue = oxygen atoms



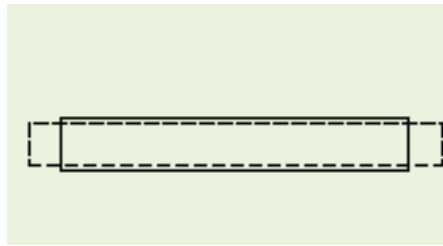
Quartz lattice

Frequency generation: quartz crystal oscillator (XO)

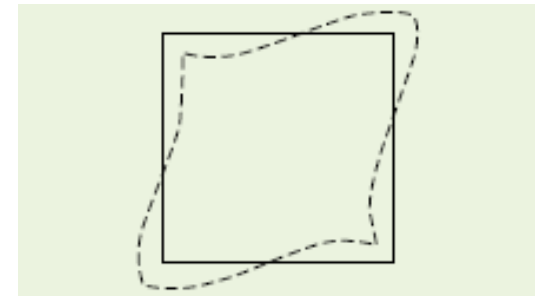
Vibration modes of quartz plates



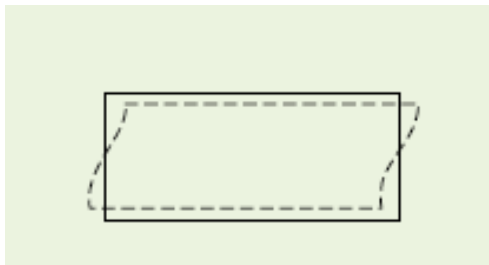
Flexure Mode



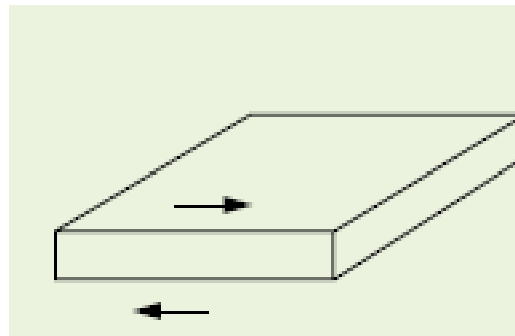
Extensional Mode



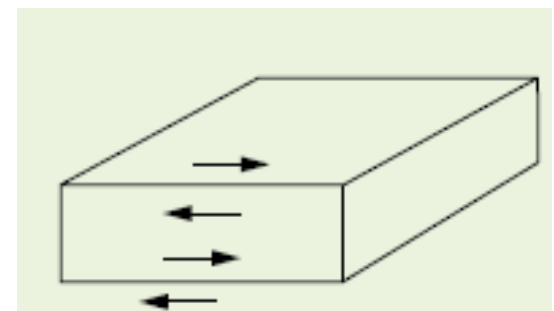
Face Shear Mode



Thickness Shear
Mode



Fundamental Mode
Thickness Shear



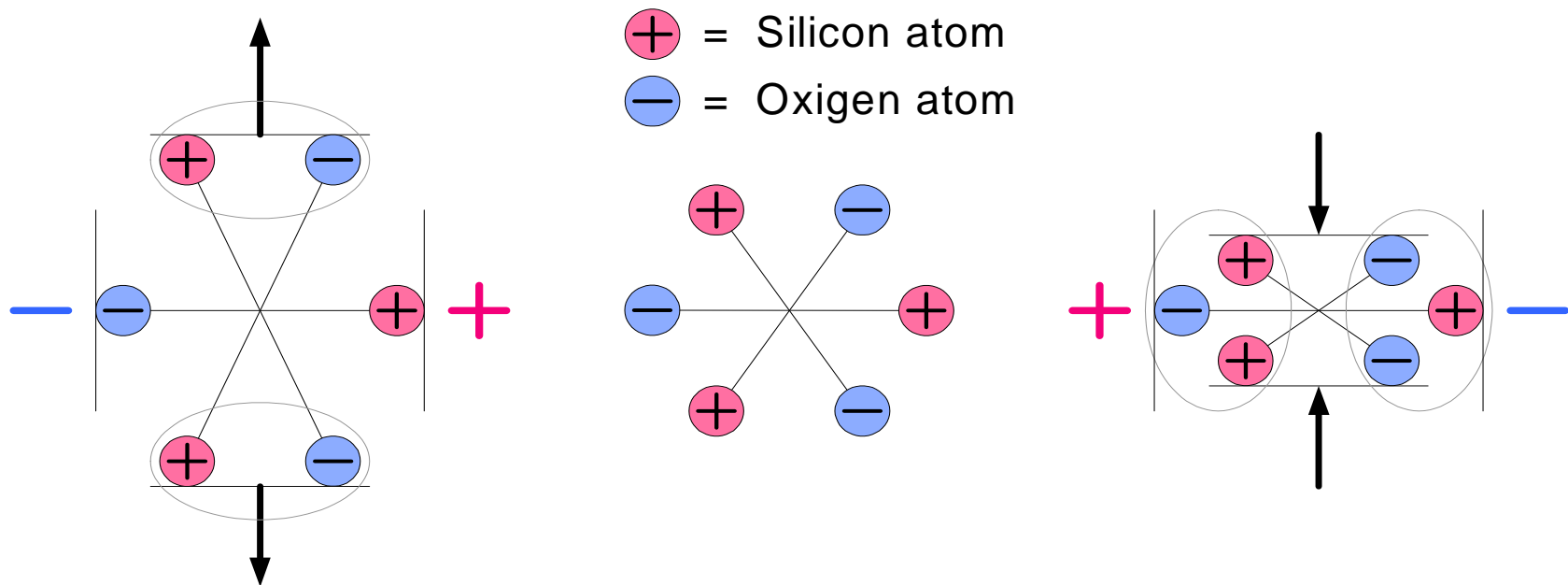
Third Overtone
Thickness Shear

Frequency generation: quartz crystal oscillator (XO)

Piezo-electric effect in quartz

Piezo-electric effect:

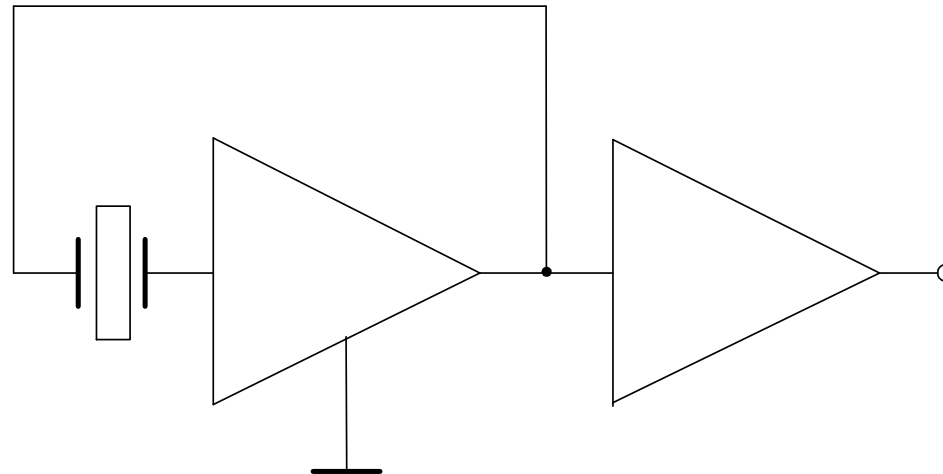
- Mechanical strain \Rightarrow voltage
- Voltage \Rightarrow mechanical deformation



Frequency generation: quartz crystal oscillator (XO)

Simple XO

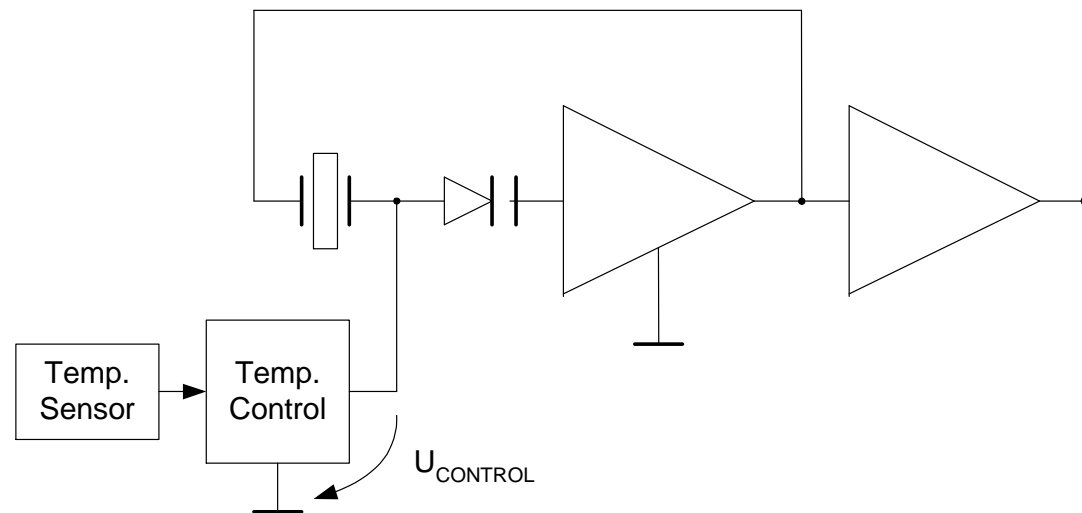
- Quartz resonator in a feedback loop
- Resonance frequency depends somewhat on temperature
- Resonator is cut in such a way as to minimize temperature dependency
- Temp. sens. of fractional freq.: $> 1\text{E-}7 / ^\circ\text{C}$



Frequency generation: quartz crystal oscillator (XO)

Temperature Compensated XO (TCXO)

- Resonance frequency is modified by a varactor diode so as to compensate temperature sensitivity
- Temp. sens. of fractional freq.: $5E-8$ to $5E-7$ over $[-55^{\circ}\text{C}$ to $85^{\circ}\text{C}]$

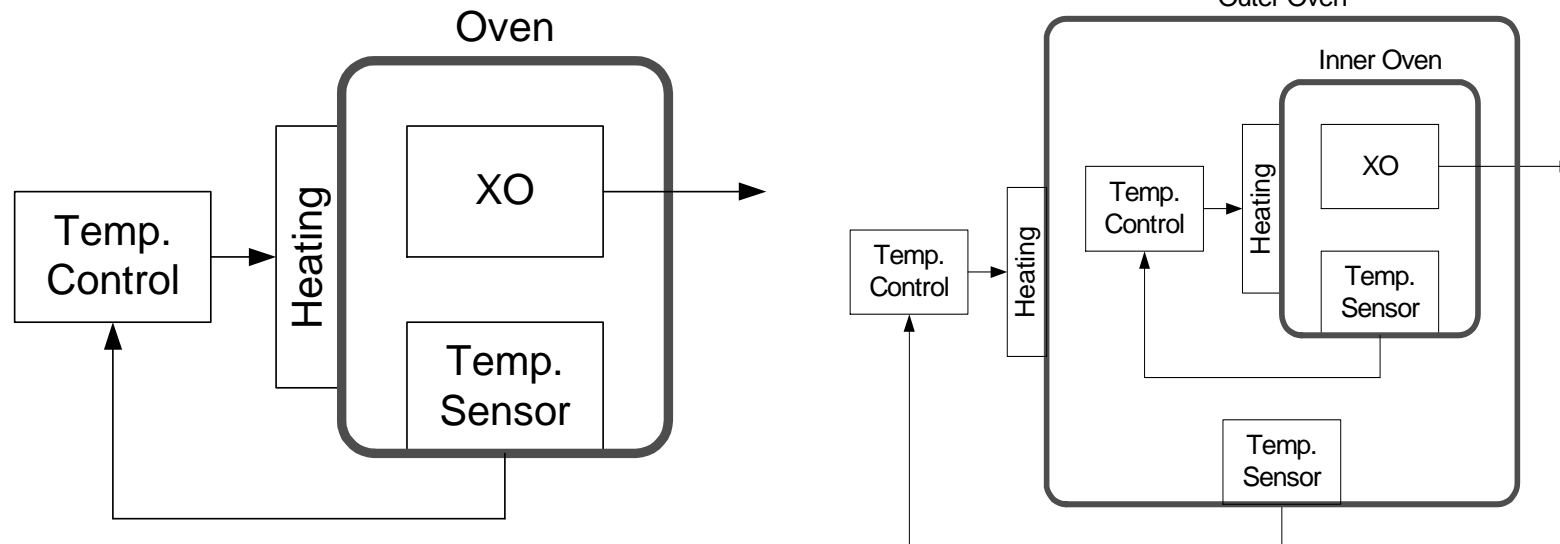


Frequency generation: quartz crystal oscillator (XO)

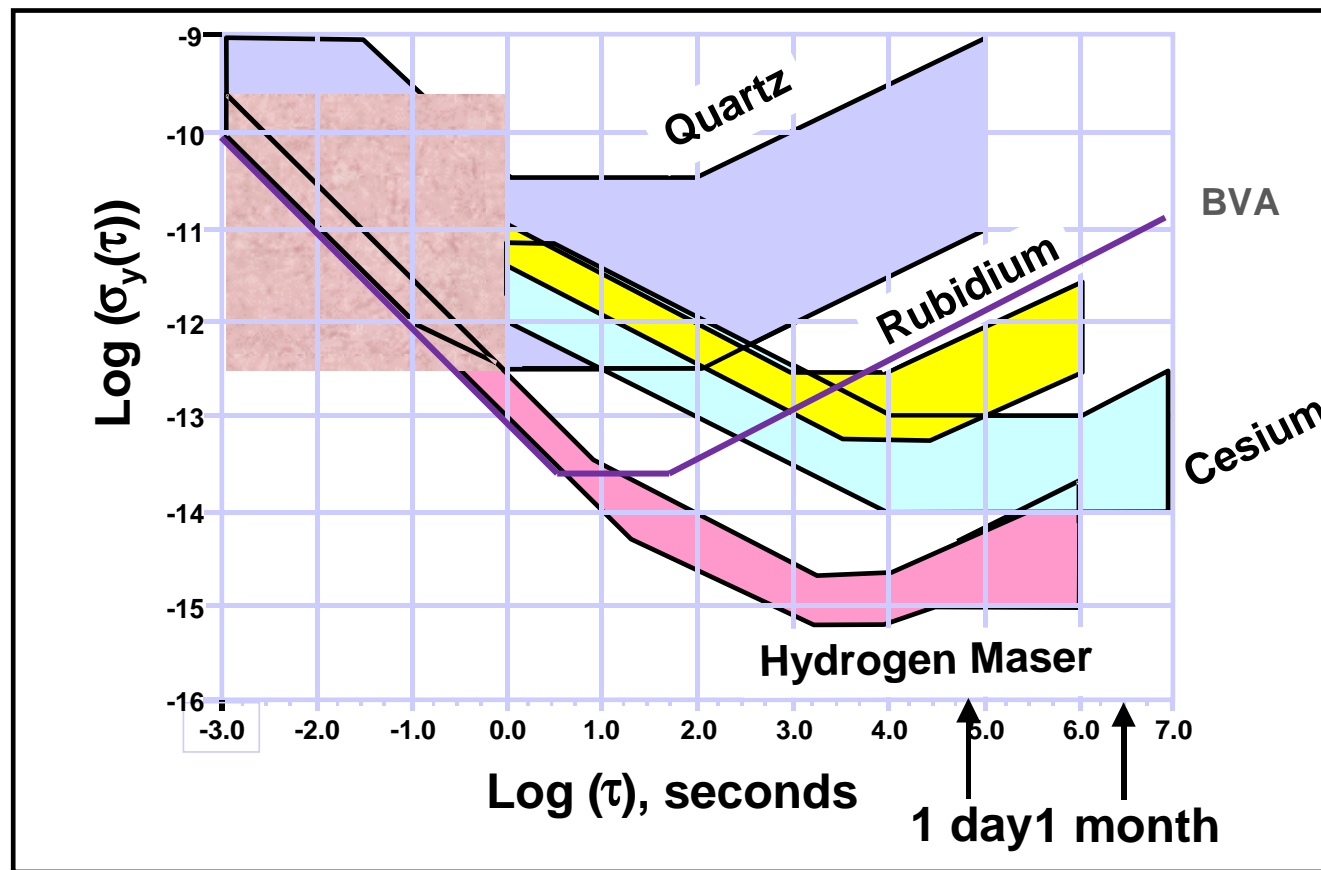
Oven-controlled XO (OCXO)

- A control loop maintains the oven containing the XO at (nearly) constant temperature.
- One or two ovens
- Single oven OCXO: $5\text{E-}9$ to $5\text{E-}8$ over $[-30^{\circ}\text{C}$ to $60^{\circ}\text{C}]$
- Double oven OCXO: $1\text{E-}10$ to $5\text{E-}9$ over $[-30^{\circ}\text{C}$ to $60^{\circ}\text{C}]$
- Double oven OCXO with BVA: $1\text{E-}10$ over $[-30^{\circ}\text{C}$ to $60^{\circ}\text{C}]$, $5\text{E-}11$ over $[-15^{\circ}\text{C}$ to $60^{\circ}\text{C}]$

Note 1: BVA = high tech resonator with improved ageing



Frequency generation: **comparison** between XO, Rb, Cs & H



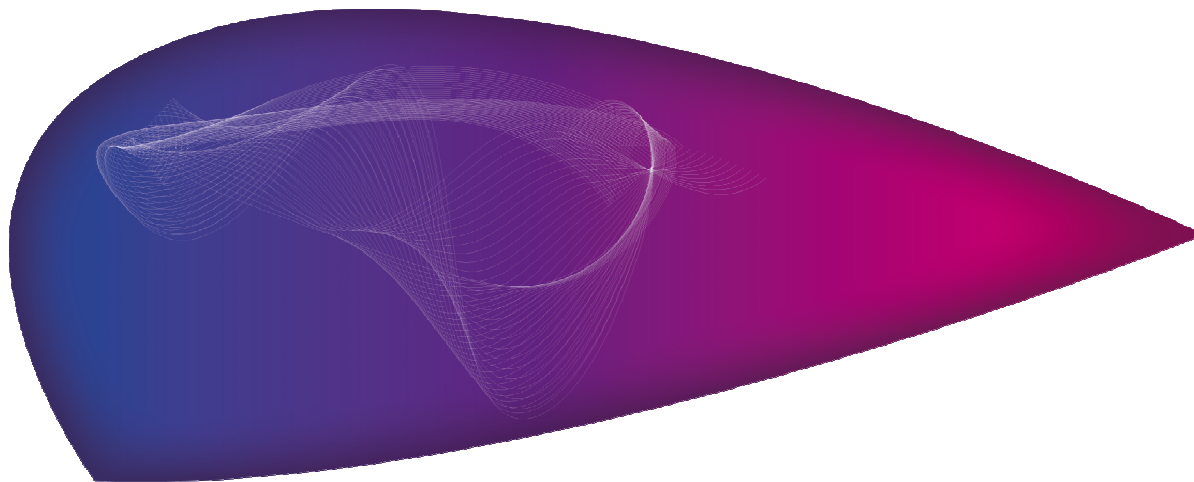
Abscissa: observation interval

Ordinate: ADEV, a frequency stability metric

Frequency generation: **comparison** between XO, Rb & Cs

	OCXO	Rb	Cs
Fractional Frequency Drift	$5 \cdot 10^{-12}/\text{day}$ to $2 \cdot 10^{-9}/\text{day}$	$4 \cdot 10^{-11}/\text{month}$ to $3 \cdot 10^{-10}/\text{month}$	0
Fractional Frequency Accuracy	-	-	$1 \cdot 10^{-12}$ to $5 \cdot 10^{-13}$
Temperature Sensitivity	$7 \cdot 10^{-13}/^{\circ}\text{C}$ to $5 \cdot 10^{-10}/^{\circ}\text{C}$	$1 \cdot 10^{-12}/^{\circ}\text{C}$ to $1 \cdot 10^{-11}/^{\circ}\text{C}$	$1 \cdot 10^{-13}/^{\circ}\text{C}$ to $1 \cdot 10^{-14}/^{\circ}\text{C}$

3. Time Scale Generation



Time scale generation: clocks and time scales

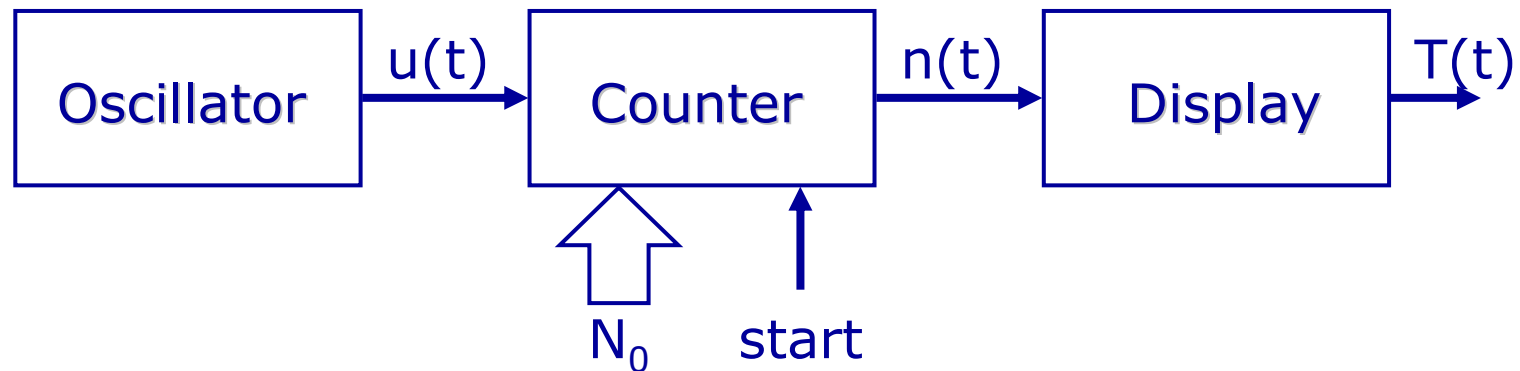
A time scale is defined by:

- 1) a time unit
- 2) a time origin

A date is a number of units on the time scale

A clock consists of:

- 1) a periodic phenomenon which can be observed
- 2) a counter which counts the number of periods
- 3) a means for setting the counter to a preset value
- 4) a display of the registered count



Time scale generation: **definition of the second**

The second is the time unit of the International System of Units (SI).

Definition:

The second is the duration of 9 192 631 770 periods of the radiation corresponding to the transition between the two hyperfine levels of the fundamental state of the Cesium 133 atom.

Time scale generation: atomic time scales

Origin of Atomic Time Scales :

1 January 1958, on 0 h 0 min 0 s UT2

International Atomic Time (TAI) :

Time scale based on the definitions of the second and of the origin of Atomic Time Scales (as mentioned above), and implemented by a network of atomic clocks located all over the earth and operated by the Bureau International de l'Heure (BIH) in Paris .

Time scale generation: **atomic time scales**

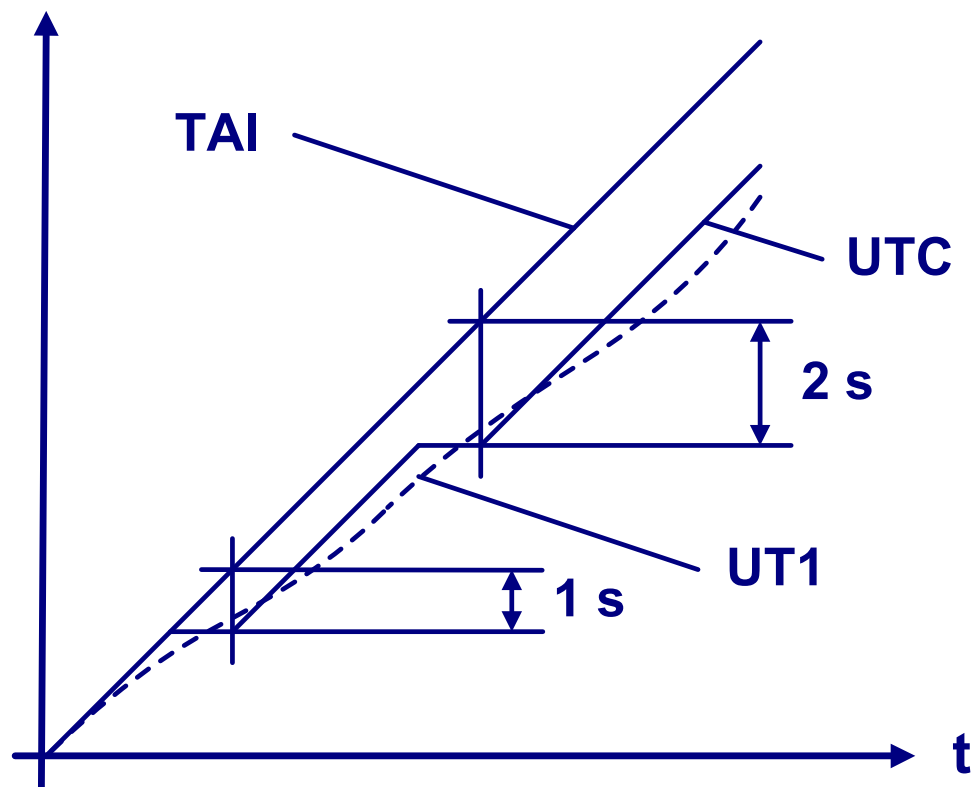
Coordinated Universal Time (UTC) :

Timescale based on the time unit of TAI, but adjusted by means of leap seconds so as to keep within 0.9 seconds of UT1; UTC differs from TAI by an integer number of seconds; leap seconds are added or subtracted when necessary at the end of the last day of a month.

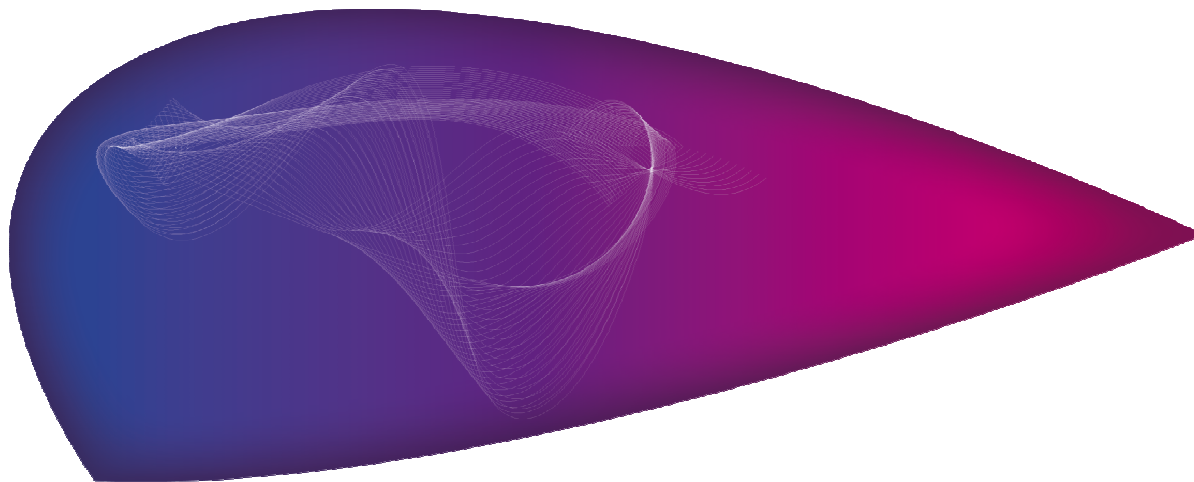
UT1: based on the rotation of the Earth around its axis

UT2: based on the rotation of the Earth around the sun

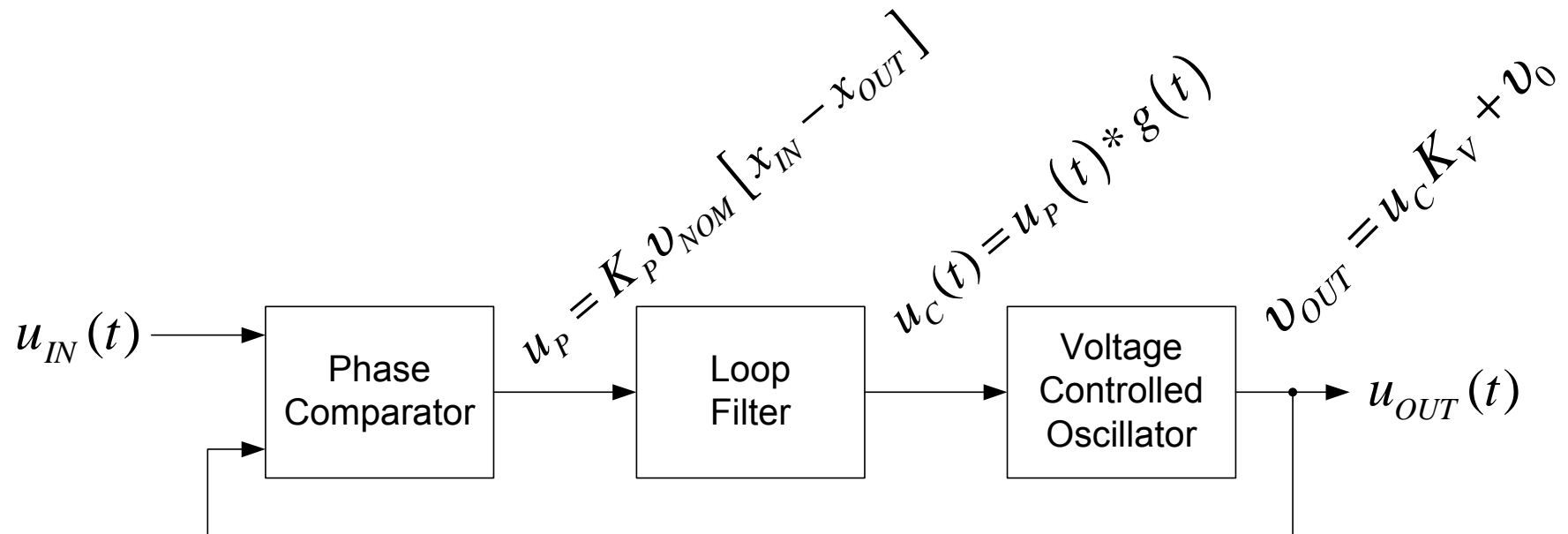
Time scale generation: atomic time scales



4. Phase Locked Loop (PLL)



PLL: Working principle



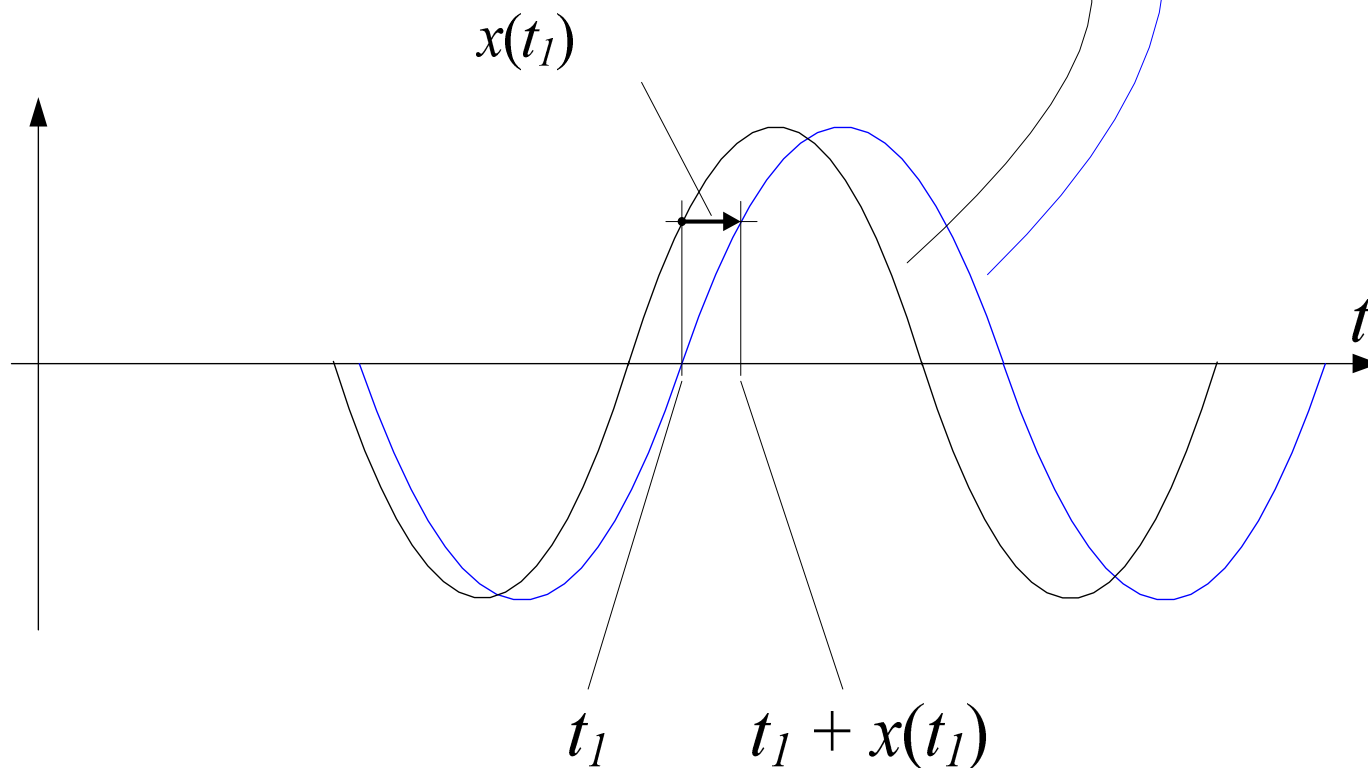
$$u_{IN}(t) = A \cdot \sin \left\{ 2\pi v_{NOM} \left[t + x_{IN}(t) \right] \right\} = A \cdot \sin \left\{ 2\pi v_{IN}(t) + \varphi_{0,IN} \right\}$$

$$u_{OUT}(t) = A \cdot \sin \left\{ 2\pi v_{NOM} \left[t + x_{OUT}(t) \right] \right\} = A \cdot \sin \left\{ 2\pi v_{OUT}(t) + \varphi_{0,OUT} \right\}$$

PLL: Phase-time deviation $x(t)$

$$\text{nominal signal} = \sin\{2\pi\nu_{\text{NOM}}t\}$$

$$\text{actual signal} = \sin\{2\pi\nu_{\text{NOM}}[t + x(t)]\}$$



PLL: Transfert function

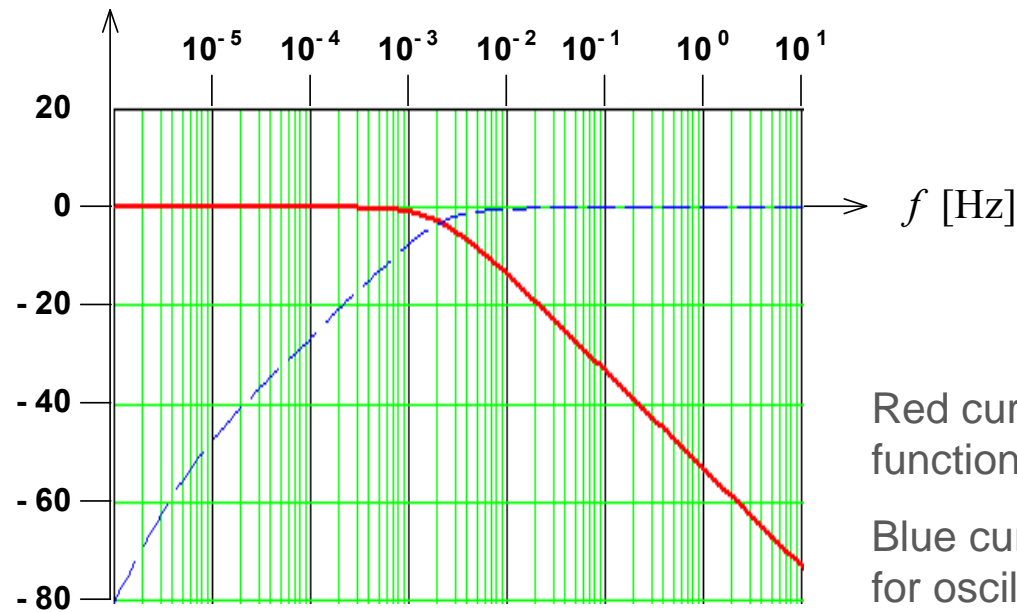
$$u_{OUT}(t) = u_{IN}(t) * h(t)$$

$$U_{IN}(s) = U_{OUT}(s) \cdot H(s)$$

where $h(t)$ = impulse response

$$H(s) = \text{transfer function} = \text{Laplace}\{h(t)\}$$

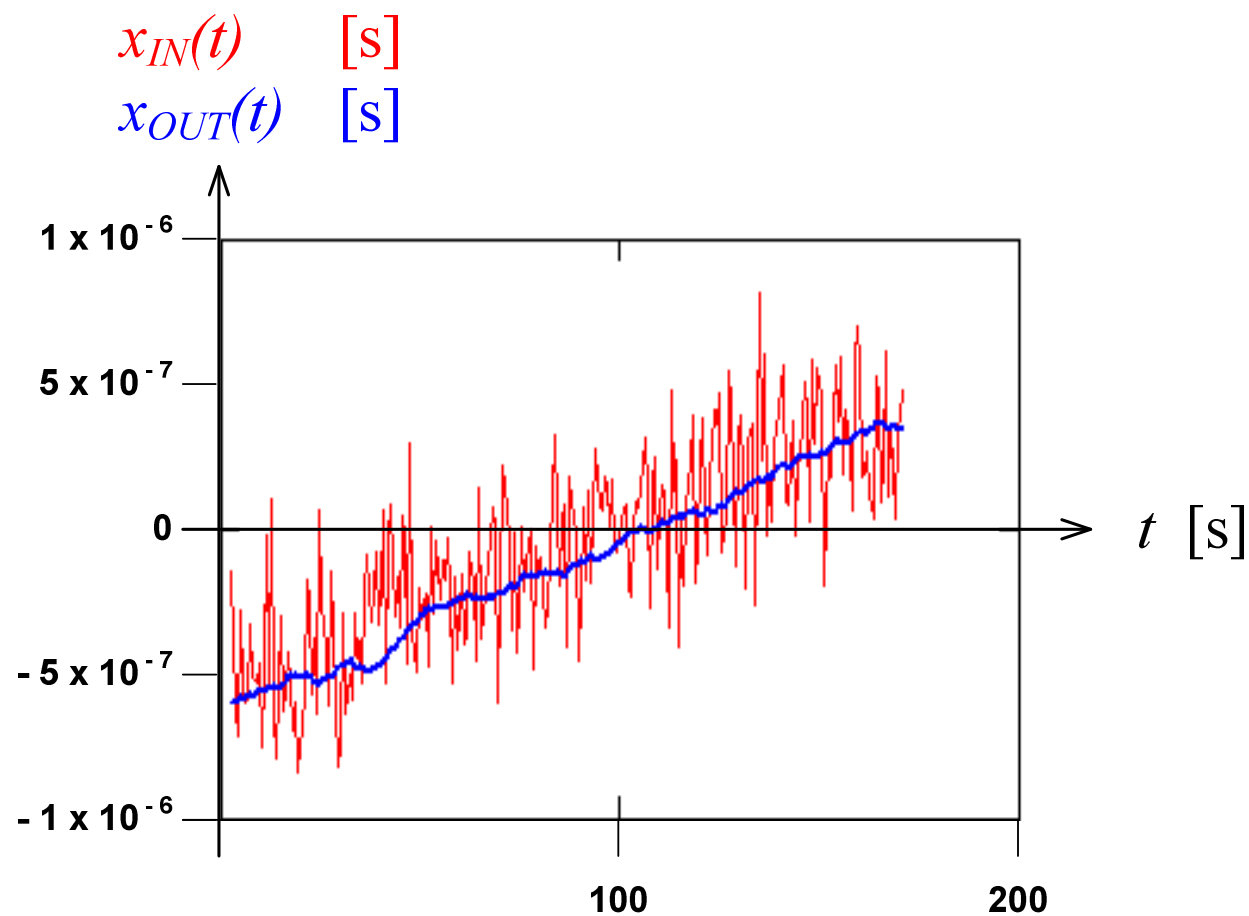
$$20\log|H(j2\pi f)| \text{ [dB]}$$



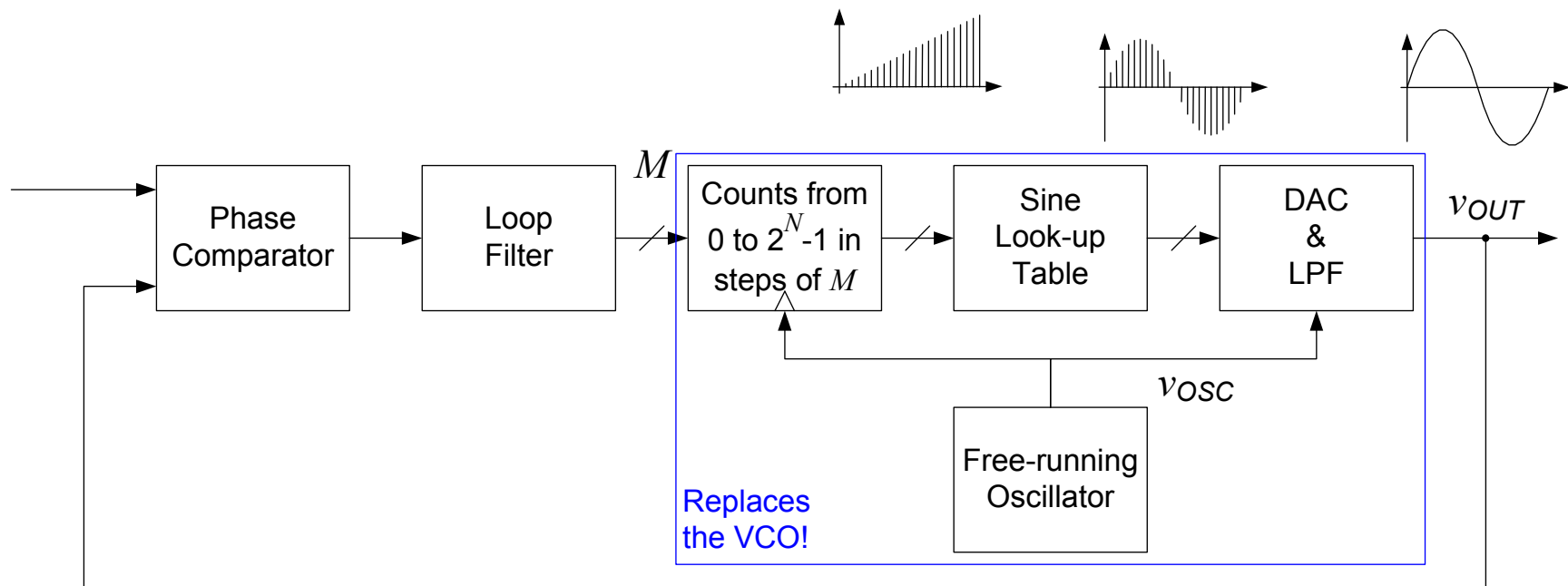
Red curve = PLL transfer function

Blue curve = transfer function for oscillator noise

PLL: Jitter filtering



PLL with Direct Digital Synthesis



DAC = Digital-to-Analog Converter
LPF = Low-pass Filter

$$v_{OUT} = \frac{M}{2^N} v_{OSC}$$

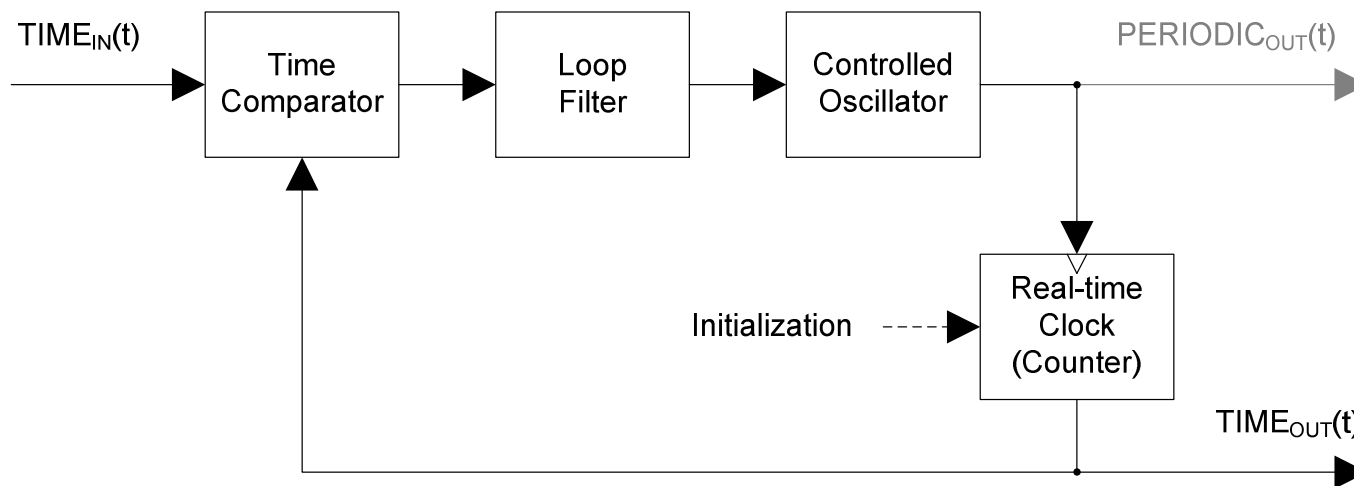
where M = output of the digital loop filter (integer)

N = size of the counter in bits (integer)

v_{OUT} = frequency of output signal $u_{OUT}(t)$

v_{OSC} = free-run frequency of the oscillator

Time Locked Loop





Thank You